



**ADVIK CAPITAL LTD.**

(A BSE Listed Company)

**CIN:** L65100DL1985PLC022505

**Web:** www.advikcapital.com

**Email.:** info@advikcapital.com

**Tel.:** +91-9289119981

**April 22, 2024**

Listing Compliance Department  
**BSE Limited**  
Phirozee Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

**(Scrip Code: 539773)**

**Sub: Submission of Compliance Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulations, 2015**

Dear Sir/Madam,

In compliance to Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015 please find attached herewith Compliance Certificate for the quarter and financial year ended on March 31, 2024.

You are requested to take the information on record.

Thanking you,

Yours Faithfully,

for **Advik Capital Ltd**

**Deepika Mishra**  
**Company Secretary and Compliance Officer**

**Regd. Office:** Vikas House, 34/1, Vikas Path, East Punjabi Bagh, New Delhi – 110026



**KUMAR G & CO.**

*Company Secretaries*

80/37A, First Floor, Malviya Nagar, New Delhi – 110017 | kumargpankaj@gmail.com | +011 4050 3037

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR) REGULATIONS, 2015**  
**FOR THE FINANCIAL YEAR ENDED MARCH 31, 2024**

We have examined all Share Transfer deeds, Memorandum of Transfers, Registers, files and other documents relating to Advik Capital Limited a company registered under the Companies Act, 1956 having CIN: L65100DL1985PLC022505, as maintained by its Company's Registrar and Share Transfer Agents i.e. Skyline Financial Services Private Limited, pertaining to transfer of equity shares of the company for the period from (01.04.2023 to 31.03.2024) for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, and based on the information provided by the Company/RTA, we hereby certify that:

- A) There are no requests received for share transfer during the period from 01.04.2023 to 31.03.2024.
- B) There are no requests received for the transmission, transposition of name, change of name, deletion of name, sub-division, consolidation, renewal, exchange of certificates and endorsement for payment of call / allotment money received, issue of duplicate certificates during the period from 01.04.2023 to 31.03.2024.

*for* **KUMAR G & CO.**  
*Company Secretaries*

**PANKAJ**  
**KUMAR**  
**GUPTA**

Digitally  
signed by  
PANKAJ  
KUMAR GUPTA

GUPTA P. K.

M.No.: A14629 | CP: 7579  
UDIN: A014629F000208306

Date: April 22, 2024  
Place: New Delhi